

# A New Production Solution for High Selective and Low-Damage Etching of GaAs-Based Devices

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## Abstract

Conventional reactive ion etching (RIE) has generally been used for selective etching of GaAs over AlGaAs. However, there is a great demand for an advanced process with improved uniformity and etch rate, and with a minimal damage for GaAs-based devices. With large scale wafers (150 mm GaAs), the uniformity control is becoming more critical. There has been limited application of high-density plasma (HDP) processing for selective GaAs etching. These HDP reactors include electron cyclotron resonance (ECR) plasma, transformer coupled plasma (TCP) source and 13.56 MHz-based inductively coupled plasma (ICP) sources. Some disadvantages of the high density plasma processing include very high rate and poor selectivity of GaAs over AlGaAs. Two MHz-based ICP processing has been popular for high rate via hole etching and non-selective GaAs etching. We have recently developed an advanced process with a 2 MHz ICP reactor as a new solution for selective etching of GaAs over Al<sub>x</sub>Ga<sub>1-x</sub>As, where  $x \geq 0.1$ . The ICP process has been developed especially for production lines to replace the conventional RIE process. The advantages of the ICP process include excellent uniformity ( $< \pm 5\%$  for 100 mm wafer on an electrostatic chuck), controllable etch rates from 400 to 3000 Å/min, vertical sidewall for a high aspect ratio etching, clean and smooth AlGaAs surface after etching as well as high selectivity ( $> 50 - 100 : 1$ ). This process is also suitable for processing of damage-sensitive devices. The ICP process has proven to be an excellent process solution for production of GaAs devices and has started to replace RIE techniques. The process can also be applicable for other GaAs-based selective etching with similar results, for example, like GaAs over InGaP and GaAs over InGaAs. These experimental results with the 2 MHz ICP source demonstrate that the ICP equipment can be a superior candidate as a multi-purpose reactor for front- and back-side etching of GaAs-based devices.

## INTRODUCTION

The rapid growth of the opto-telecommunication market has accelerated development of advanced processing technologies for GaAs-based semiconductors. Selective etching of GaAs over AlGaAs has been a key process for fabrication of GaAs-based electronic devices, for example, heterojunction bipolar transistors (HBTs) and high electron mobility transistors (HEMTs).<sup>[1]</sup> Conventionally, use of reactive ion etching (RIE) with BCl<sub>3</sub>/SF<sub>6</sub>, BCl<sub>3</sub>/SF<sub>6</sub>/N<sub>2</sub> or SiCl<sub>4</sub>/SF<sub>6</sub>/N<sub>2</sub> has been a typical choice for the selective etch process.<sup>[2-8]</sup>

However, there are some difficulties with the process. The RIE technique requires relatively high pressure for etching due to the need for plasma stability at low ionization efficiency. Typical operating pressures are 10 - 30 mTorr. The etch uniformity of a wafer is somewhat related to the chamber pressure. Low pressure processing is attractive for obtaining better uniformity over the wafer. In addition, GaAs chip manufacturers recently scaled up the wafer size from 100 (4 inch) to 150 mm (6 inch). It will be a strong challenge for RIE processes to achieve good etch uniformity on such a large size wafer. However, the operating pressure in an inductively coupled high density plasma (ICP) is 2 to 10 mTorr. It is expected that uniformity will be improved with an ICP process.

Another issue is plasma damage to the semiconductor device during etching. The RIE process is based on capacitively coupled plasma and only one power source is employed. The applied rf chuck power induces a self dc bias on the chuck, which will accelerate ions. The physical impact of the ions on the wafer will accumulate

damage in the device.<sup>[9-16]</sup> If high etch rate is desirable, high rf chuck power is necessary, which will accumulate more damage on the device at the significantly accelerated ion energy.<sup>[17-18]</sup> In a high density ICP reactor,<sup>[19-24]</sup> there are two power inputs for the plasma. One is chuck power, which is similar to the power source for RIE and the other is ICP source power. The main role in generating the plasma is attributed to ICP source power not RIE chuck power. The result provides almost independent control of ion density and ion energy.<sup>[21]</sup> Ion density is controlled by ICP source power alone. Increasing ICP source power increases ion density. Meanwhile, ion energy is affected by both ICP source power and rf chuck power. It is noted that increasing ICP power decreases induced dc bias (i.e. ion energy) while increasing rf chuck power (i.e. RIE power) raised dc bias on the chuck. Therefore, the ICP source power increases ion density but decreases ion energy. The ICP reactor provides another process parameter to control ions, which is a more advanced process concept than the RIE-only approach because minimum damage (or damage-free) process is possible with an optimized ICP condition with a low rf chuck power and a high ICP source power. Some rf chuck power is still necessary in order to get a vertical sidewall.

One disadvantage of all high density plasma sources has been a low selectivity for etching GaAs over AlGaAs.<sup>[25]</sup> In this talk, we present an excellent pattern transfer process for selective etching of GaAs/AlGaAs in a high density ICP plasma source. The results show a relatively high etch rate (1500 - 3000 Å/min) of GaAs with extremely high selectivity (> 200:1). Compared to conventional RIE process, our simple ICP process provided more than 3 ~ 6 times faster GaAs etch rate without sacrificing selectivity of GaAs over Al<sub>x</sub>Ga<sub>1-x</sub>As, where x > 0.1. Therefore, this process has a strong advantage in terms of throughput as well as low damage to the devices, especially for devices which require deep feature etching. It will reduce cost-of-ownership significantly. It also eliminates some problems for device design by providing both high rate and selectivity for GaAs etching.

## EXPERIMENTAL

A Plasma-Therm SLR 770 inductively coupled plasma etcher was utilized for selective etching of GaAs over Al<sub>0.2</sub>Ga<sub>0.8</sub>As. The etcher has He back-side cooling configuration and a mechanical ceramic clamp on the wafer. Bulk GaAs and 3000 Å thick AlGaAs epi-layers with different Al compositions were prepared on GaAs wafers. One micron thick photoresist on top of 400 Å SiN<sub>x</sub> was patterned as a mask for etching of both GaAs and AlGaAs. Each of the 4" GaAs and AlGaAs wafers was cleaved into a quarter and attached next to each other on a 4" bare GaAs carrier with a thermally conductive paste. A short breakthrough etching step was done before selective etching started in order to remove any native oxide on the materials.

Gas flows of BCl<sub>3</sub>, SF<sub>6</sub>, He and N<sub>2</sub> were controlled by electronic mass flow controllers. Total gas flow rates and chamber pressure were varied from 10 to 40 standard cubic centimeter per minute (sccm) and 2.5 to 10 mTorr, respectively. A 2 MHz ICP source power and a 13.56 MHz rf chuck power were changed from 300 to 900 W and 5 W to 20 W, respectively. Self-induced dc bias on the electrode was ranged from - 32 to -90 V. Etch depth was measured by a profilometry. Sidewall and surface morphology was measured by a scanning electron microscope (SEM) and tapping mode atomic force microscopy (AFM), respectively. In order to have accurate calculation for selectivity with a simulation of extremely long over-etching time for the AlGaAs layer, all the characterization data was obtained after 15 min. etching, which produced GaAs etch depths of several micron. It is common to have short over-etching time (~ 10 %) in order to ensure complete removal of GaAs and full exposure of the AlGaAs surface.<sup>[2,4]</sup>

## RESULTS AND DISCUSSION

Figure 1 shows the etch rates of GaAs and Al<sub>0.2</sub>Ga<sub>0.8</sub>As and selectivity of GaAs over the AlGaAs in terms of % N<sub>2</sub> in total flow of N<sub>2</sub> and He at fixed BCl<sub>3</sub> and SF<sub>6</sub> flow rate. The result of 10 sccm of BCl<sub>3</sub>/SF<sub>6</sub> ICP plasma without any addition of N<sub>2</sub> and He gave a

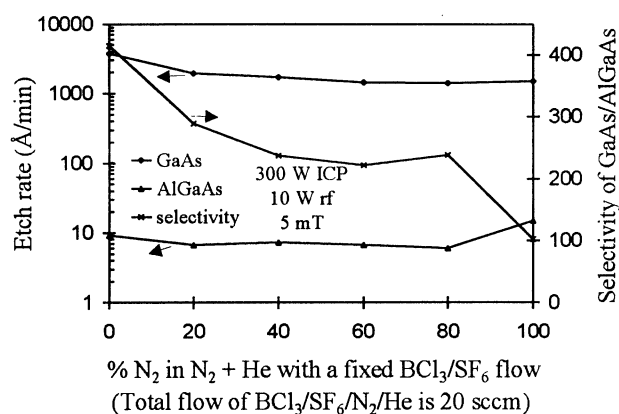


Figure 1. Etch rate and selectivity of GaAs and AlGaAs as a function of % N<sub>2</sub> in N<sub>2</sub>+He with fixed BCl<sub>3</sub>/SF<sub>6</sub> flow in ICP BCl<sub>3</sub>/SF<sub>6</sub>/N<sub>2</sub>/He etching.

rate of about 1700 Å/min of GaAs and selectivity of 70:1. In order to study their effects on etch rate, both He and N<sub>2</sub> gases were introduced separately at a fixed total flow. It is worthwhile to mention that large amounts of He may cause high reflectivity of ICP source power at low ICP power and make the plasma unstable due to the high ionization potential of He.<sup>[26]</sup> However, we found that a small amount of He addition to BCl<sub>3</sub>/SF<sub>6</sub> ICP plasma dramatically increased the etch rate of GaAs and resultant selectivity of GaAs over AlGaAs without affecting the plasma stability. GaAs etch rate was increased to 3,700 Å/min in a BCl<sub>3</sub>/SF<sub>6</sub>/He plasma with 10 sccm He flow (Total gas flow

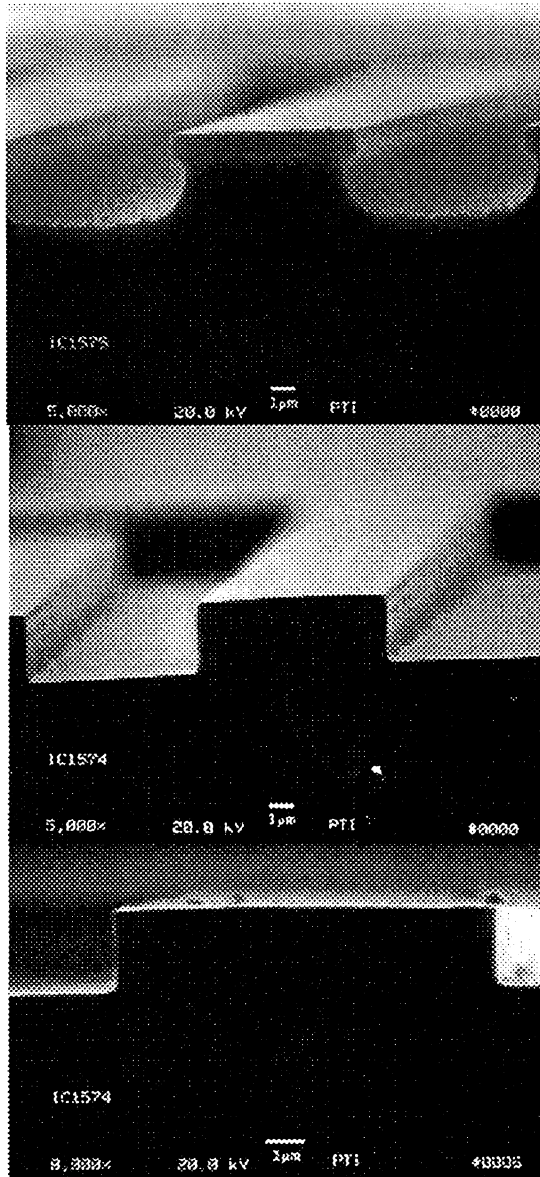


Figure 2. SEM micrographs of GaAs after etching with  $\text{BCl}_3/\text{SF}_6$  (top) and  $\text{BCl}_3/\text{SF}_6/\text{N}_2/\text{He}$  (middle and bottom). Photoresist is still in place (top and middle) and has been removed (bottom).

was 20 sccm), while that of AlGaAs was very hard to measure ( $< 150 \text{ \AA}$ ) after 15 min etching. It was not difficult to have greater than 200 selectivity for GaAs in  $\text{BCl}_3/\text{SF}_6/\text{He}$  plasmas, which was about 3 times higher compared to the value without He addition. Selectivity of GaAs to photoresist was also noticed to be high ( $> 5:1$ ). Accuracy of the selectivity data was actually limited by etching time and measurement, and selectivity could be as high as 400:1 in a  $\text{SF}_6/\text{BCl}_3/\text{He}$  plasma.

Addition of  $\text{N}_2$  into  $\text{BCl}_3/\text{SF}_6$  plasmas decreased the GaAs etch rate slightly. For example, the etch rate of GaAs dropped from 1700 A/min to 1400 A/min with 10 sccm of  $\text{N}_2$ . It was noticed that  $\text{N}_2$  addition enhanced sidewall

passivation preventing isotropic undercutting and produced very anisotropic pattern transfer into GaAs. This result is extremely important for deep etching ( $> 2$  micron thick) of GaAs. By contrast to He,  $\text{N}_2$  addition enhanced plasma stability. Optimization of  $\text{N}_2$  flow in  $\text{BCl}_3/\text{SF}_6$  (more strictly,  $\text{BCl}_3$ ) is also very important to achieve residue-free surfaces as well as the sidewall passivation. In general, chlorine, nitrogen and carbon-based organic residues can be detected by Auger Electron Spectroscopy and X-ray photoelectron spectroscopy without optimization of plasma composition in the selective etching process.  $\text{BCl}_3/\text{SF}_6/\text{N}_2$  chemistries may create organic polymer in the plasma in the presence of resist and deposit it on the etched surface as well as sidewall of the feature. Residues on the etched surface might reduce yield of the devices because the cleanliness of the AlGaAs surface is very important for metal contact or re-growth after etching.

Figure 2 shows SEM micrographs after ICP etching. Figure 2 (top) shows a GaAs feature after etching with a pure  $\text{BCl}_3/\text{SF}_6$  ICP plasma without any  $\text{N}_2$  or He dilution. Notice that undercutting on the sidewall is significant. This implies passivation from the  $\text{BCl}_3$  component alone is insufficient. However, increasing the  $\text{BCl}_3$  flow will reduce selectivity of GaAs over AlGaAs. The basic mechanism of selective etching of GaAs over AlGaAs is formation of  $\text{AlF}_x$  on the AlGaAs layer.<sup>[4,5]</sup> The  $\text{AlF}_x$  has high bond strength and is non-volatile at room temperature. The  $\text{AlF}_x$  on the AlGaAs plays a role as a protecting layer, and prevents the underlying AlGaAs from being etched. Addition of more  $\text{BCl}_3$  means reduction of %  $\text{SF}_6$  in the plasma composition. Also  $\text{BCl}_3$  increases the physical component of the etch process in the  $\text{SF}_6/\text{BCl}_3$ -based plasmas. It is noted that etch rates of both GaAs and AlGaAs decreased with %  $\text{BCl}_3$  at fixed total flow. It implies that the reaction becomes more physical than chemical as the  $\text{BCl}_3$  flow is increased. These effects increase ion bombardment on the thin ( $\leq 200 \text{ \AA}$ ) AlGaAs layer. This will reduce selectivity of GaAs over AlGaAs due to incomplete  $\text{AlF}_x$  coverage on AlGaAs. Another way to control sidewall passivation is to add  $\text{N}_2$  into the  $\text{BCl}_3/\text{SF}_6$  plasma, as we

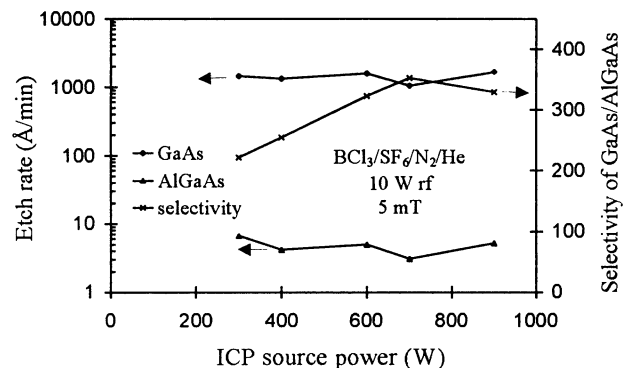


Figure 3. Etch rate of GaAs and Al<sub>0.2</sub>Ga<sub>0.8</sub>As, and selectivity as a function of ICP source power.

discussed earlier. Addition of N<sub>2</sub> and He also significantly improved surface morphology.

Figure 3 shows etch rate of GaAs and Al<sub>0.2</sub>Ga<sub>0.8</sub>As and selectivity as a function of ICP source power. In overall range from 300 to 900 W ICP power, etch rate of GaAs and AlGaAs was not changed significantly. Etch rate of GaAs was about 1400 Å/min and that of AlGaAs was < 10 Å/min. Selectivity was over 200 :1. The advantage of lower source power is improved selectivity over resist.

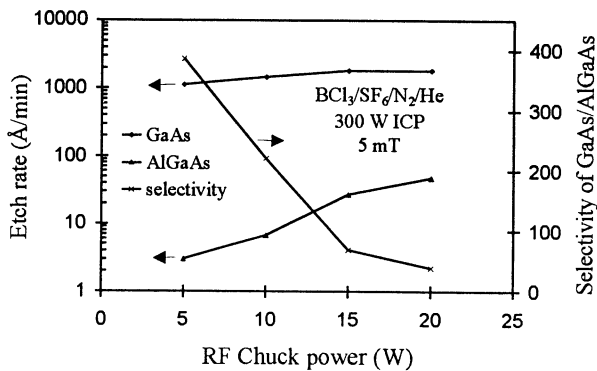


Figure 4. Etch rate of GaAs and Al<sub>0.2</sub>Ga<sub>0.8</sub>As, and selectivity as a function of rf (or RIE) chuck power.

Etch rate of GaAs and AlGaAs was a strong function of rf (or RIE) chuck power (Figure 4). Once rf chuck power increased from 5 to 20 W (corresponding dc bias was changed from -32 to -95 V.), etch rate of GaAs and Al<sub>0.2</sub>Ga<sub>0.8</sub>As increased from 1100 to 1800 Å/min and 3 to 45 Å/min, respectively. Therefore, selectivity decreased dramatically from 380 to 38. According to Figure 3 and 4, etch rate of Al<sub>0.2</sub>Ga<sub>0.8</sub>As in ICP BCl<sub>3</sub>/SF<sub>6</sub>/N<sub>2</sub>/He is ion energy-limited, once gas flows are fixed. Keep it mind that it is necessary to apply some rf chuck power in order to get a vertical sidewall. The data indicates that optimization of the rf chuck power (i.e. dc bias or ion energy) is very important for obtaining both high selectivity and anisotropy.

## SUMMARY AND CONCLUSIONS

Combined addition of N<sub>2</sub> and He into BCl<sub>3</sub>/SF<sub>6</sub> plasmas made it possible to have a new process window for high selective etching of GaAs over Al<sub>0.2</sub>Ga<sub>0.8</sub>As with clean surfaces and excellent anisotropy. Plasma etching with the BCl<sub>3</sub>/SF<sub>6</sub>/N<sub>2</sub>/He ICP chemistry made it possible to have extremely high selectivity (> 200:1), clean surface (RMS is ~ 2 nm) and reasonably fast etch rate (1500 Å/min). Sidewall angle of the etched GaAs was measured as ≥ 87°, which indicated excellent control on critical dimension. Selectivity of GaAs to a photoresist was also noticed to be very high (> 5:1). It is found that etching of AlGaAs in the

BCl<sub>3</sub>/SF<sub>6</sub>/N<sub>2</sub>/He ICP plasma at fixed gas flow is ion energy-limited. This process is also applicable for selective etching of GaAs over InGaP. In summary, the ICP selective etching recipe can be a strong candidate as an advanced process for GaAs-based devices, especially for deep feature etching and/or a large size (≥ 100 mm) wafers.

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