

# GaAs Wafer Breakage Reduction

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## Abstract

We present results of many years of work for breakage reduction of GaAs wafers at Skyworks. Incoming wafers are seen to be strong. Micro-scratches and micro-cracks added during processing reduce the strength of processed wafers. However, wafer strength reduction is not linear and breakage rate does not increase simply by adding fabrication steps. Instead, wafer breakage and strength reduction is a function of process and tool type. Wafers may break at a tool or weakened wafers may break later. Once attention is given to the main culprits and issues resolved, breakage goes down. A few specific examples will be described.

## INTRODUCTION

GaAs wafer breakage has been accepted as a norm because of the extreme brittleness of wafers [1-3]. Historically, in the three inch wafer size days, 18% rate was not unusual. As the volume and size of the wafers grew, high rates became unacceptable. Today, using 150 mm (6 inch) wafers, the raw material cost has dropped significantly due to the high volume, but epi wafers are invariably used for commonly used III-V products. Therefore, finished product wafer cost remains a challenge. Breakage rates had settled down at about 2% in the last decade. The run rates are in thousands of wafer per week. Fab yields are running around 95 % and probe yields are approaching 99 %. At a breakage rate of 2%, it is the highest Pareto item among the causes of yield loss. Skyworks has reduced breakage levels from a few percent to below 0.5% (measured as wafers broken as a fraction of wafer outs plus wafers broken). Fig. 1 shows the reduction of wafer breakage over a few years' time. Fab-wide initiatives and improvements to achieve this reduction are discussed in this paper. This rate does not include operator errors that result in whole-lot breakage. Only minor breakage defined as breakage of fewer than five wafers per event are included in the current study.

### Wafer Strength Determination

Historically substrate defects from boule growth to substrate processing and epi stress contributed to breakage later during circuit fabrication. These have been reduced to negligible levels. Our measurements of wafer strength of incoming epi wafers confirms this.

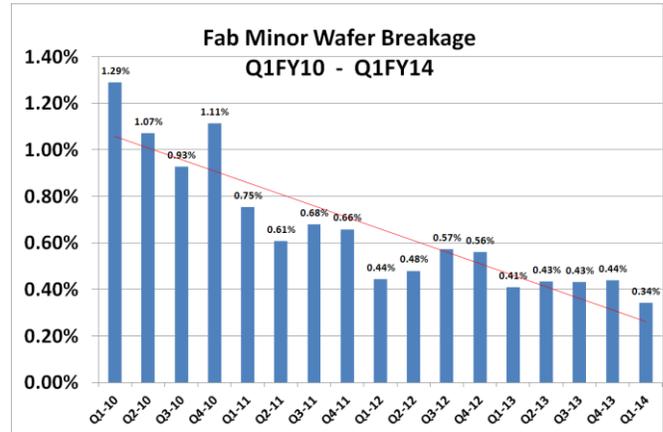


Fig. 1: Wafer breakage drop over the last few years at Skyworks.

In order to quantify and track loss of wafer strength as the wafers are processed through different stages and different tools, we used a fracture testing method used by others in the past [1,3]. In this method wafers are placed face up in a circular jig and a stylus is applied to the center. Force is then applied with the stylus. A predetermined contact speed and rate of increase of applied force are controlled while measuring the stylus force in the center of the wafer. The max force on a digital display is kept by the tool at the time of wafer breakage. We record this value along with other known pertinent information such as wafer stage or wafer thickness. Fig. 2 shows the schematic diagram of the apparatus.

An example of the wafer strength data of incoming wafers is shown in Fig. 3. The median strength of the wafers is 11.2 lbs., and the strongest wafers read: 23.5 lbs. These strength measurements indicate that the starting wafers are acceptable and the breakage must be caused by damage and subsequent weakening during the processing.

The type of break we see most often is a straight line crack See Fig. 4. Investigation of chips or nicks that may have initiated the break revealed that there is generally no evidence present at the edge.

It has been known that micro-cracks on the backside of the wafer and chips around the edges cause the wafer strength to drop significantly. Wafers can be damaged at one stage and break later in the process. In many instances, an increase in breakage at one stage can be tracked to an earlier stage by tool commonality analysis. For example, wafers breaking in

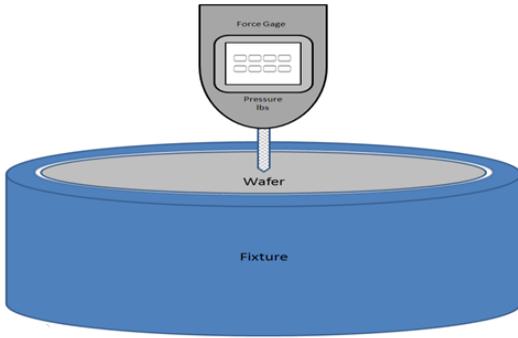


Fig. 2: Schematic diagram of wafer strength measurement.

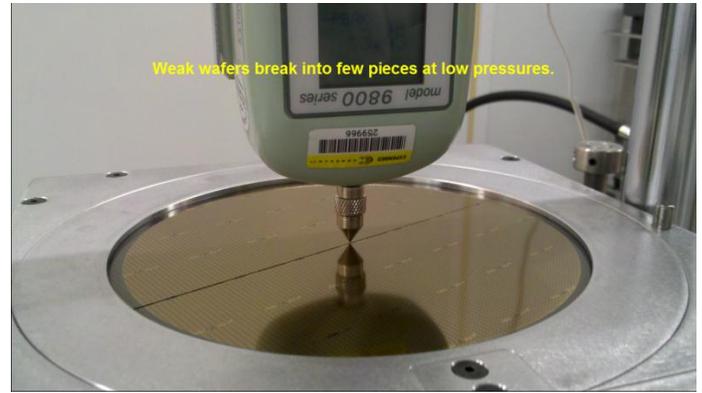


Fig.4: Different types of breaks, weak and strong wafers.

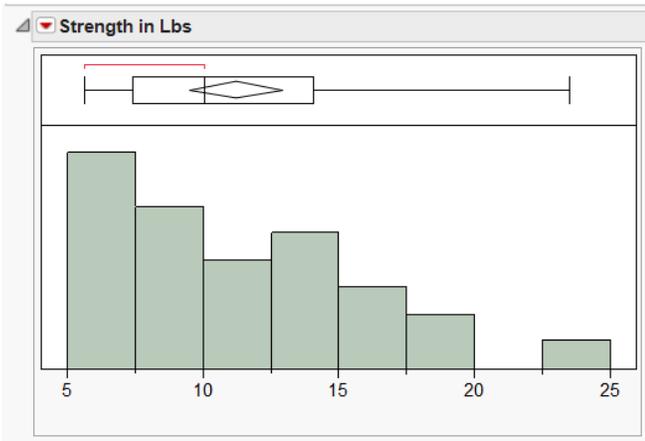


Fig. 3: Distribution of incoming wafer strength

the Novellus nitride deposition system may break due to damage caused at an earlier stage by a lift-off tool. The scratches that cause breakage can be micro scratches, like unintentional, very small scribe lines less than a centimeter long. Edge chips can be caused by collisions of wafers with metal cassettes and quartz boats, alignment pins on equipment, and wafers hitting objects due to accidental handling errors. Pressure or load on wafers due to chucks under vacuum or electrostatic force can cause breakage if the wafers are already scratched.

Wafer strength reduction as the wafers go through the fab is plotted by stage in Fig. 5. One would intuitively suspect that the wafers should get weaker as the fabrication damage is accumulated. However, data indicate progressive weakening by processing alone is negligible. The majority of wafer weakening occurs from other factors like damage at specific tools, including micro-scratches, thermal stresses and vibration.

### Micro-crack Generation Experiments

In order to study the effect of micro-cracks and determine the critical size of cracks, the following procedure was used. Wafers were scratched with lapping film coated with diamond particles and applying a rubber roller to produce micro-scratches as seen in Fig.6. Fig. 7 shows an optical

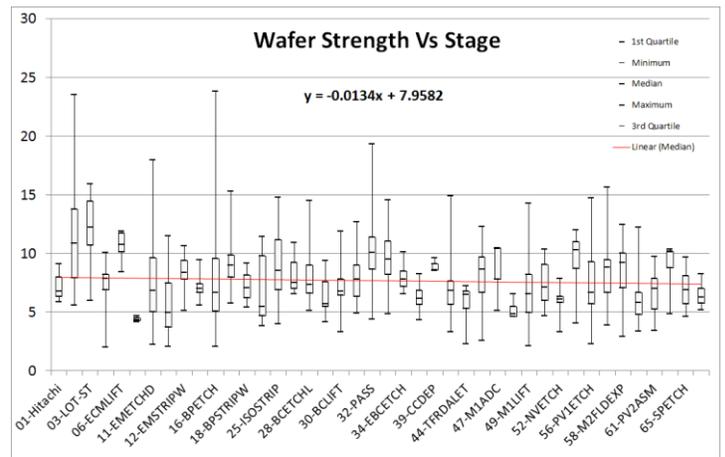


Fig.5: Breakage strength at different stages in the fabrication process.

microscope picture of micro-scratches, similar to those present on the back side of actual wafers. The results from this study showed that even a few millimeter long scratches of depth as shallow as 0.15 μm can cause breakage. Although the scratch may be only sub- micron deep, the micro crack created by this scratch can be very deep.

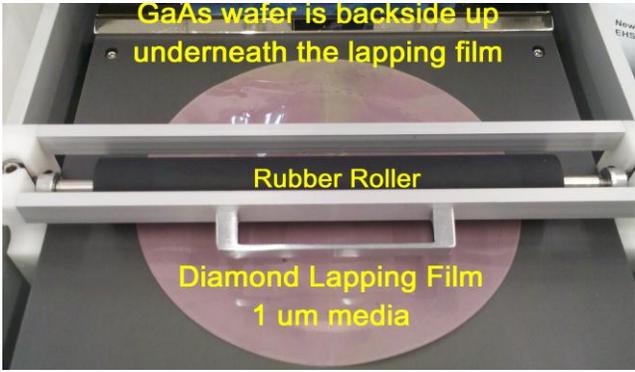


Fig. 6: Inducing microscratches on wafer backside

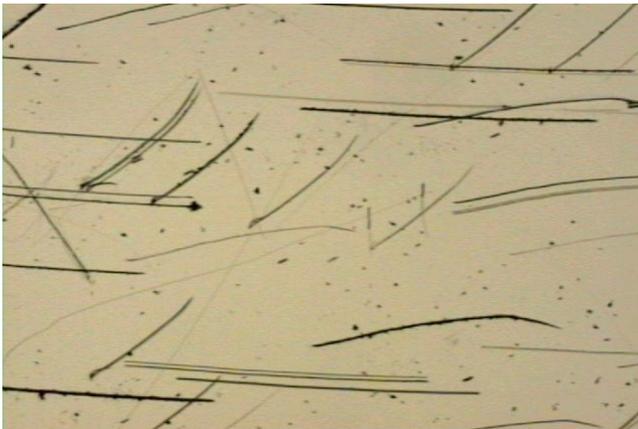


Fig. 7: Optical Microscope view of micro scratches on the backside of wafer

Fig. 8 shows the FIB (focused- ion beam) cross-section. With the addition of micro scratches, the wafer strength dropped as shown in Fig. 9. Therefore, micro-scratches must be avoided in the GaAs IC fabrication process.

**Manual Handling of Wafers**

There are process steps that have not been automated due to prohibitive cost or space limitations. The major example is the loading of wafers into metal evaporators that are essential to III-V processing. Automatic loaders for loading wafers on evaporator domes are huge and take a lot of space in the fab isle. So, wafers must be loaded by operators. Since the dome is metallic and 20 wafers must be loaded within a short time (due to close couple requirements), the operator may rush, causing wafers to break or chip. Operator training is essential here. Also, manual shuffling of wafers within the cassette is often done. For example when wafers are loaded into the nitride deposition tool they arrive in slots 6-25 of the cassette and are shifted to slots 1-20.

Or, when two 10 wafer lots will be combined into one

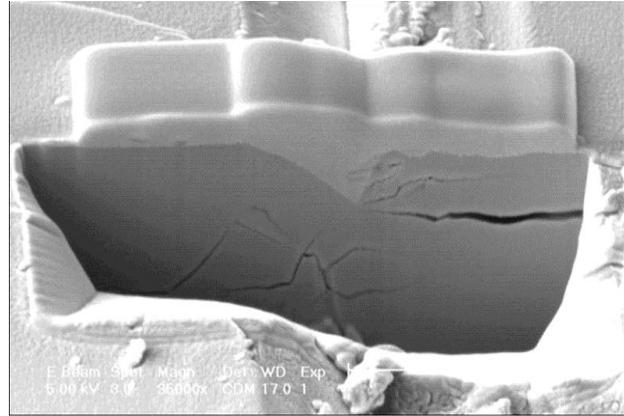


Fig.8: FIB Cross section of a micro-scratch showing sub-surface damage.

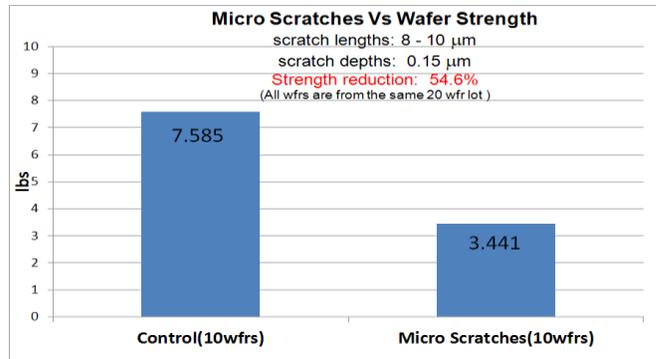


Fig. 9: Wafer strength drop after addition of micro scratches

cassette for better tool use efficiency they are manually handled. Vacuum wands weaken a wafer every time these touch a wafer. Also, any time a wand is rubbed across the surface (prior to vacuum being applied) it can cause micro scratches. “Silent processing” is a general rule for GaAs fabs. Even for wafer transport within the fab, carts must be properly designed to avoid wafer bouncing in the cassettes. Vibrations through the cassette as the lot box is pushed across a shelf (made of parallel wires) can weaken wafers. Transport of wafers (while in lot boxes) on carts also add vibrations. These vibrations were measured with an accelerometer while pushing carts (with wafers) over a smooth floor, grated metal floor, thresholds in doorways, rubber pads with raised bump surface, ramp zones etc. “G” forces were recorded on X, Y, Z axis with a perfect baseline (zero vibration) being 0,0,-1 for X,Y,Z respectively. Not surprisingly, the Z axis measured the most vibration. Different carts with different wheels performed differently. Soft large wheels were the best. Carts with built in shock absorption in the chassis also help. As expected, thresholds and bumpy surfaces produced higher “G” forces (vibrations). Max “G” force (vibration) values ranged from 0.45 to 0.94 G’s when pushing carts at a normal walking speed.

## How the Breakage Rate was Reduced

Breakage data are collected on a daily basis by a problem lot disposition system. Process step, tool, description and cause if known are captured. The data are reported to Process and Equipment engineering. Any repeat offenders are immediately identified and put down for root cause determination and repair. Test wafers are cycled through before the tool is returned to production. Long term data are analyzed and plotted in different ways. Fig.10 shows an example of the breakage rate at different stages of processing. As expected, based on the wafer strength data, the rate does not go up with stage.

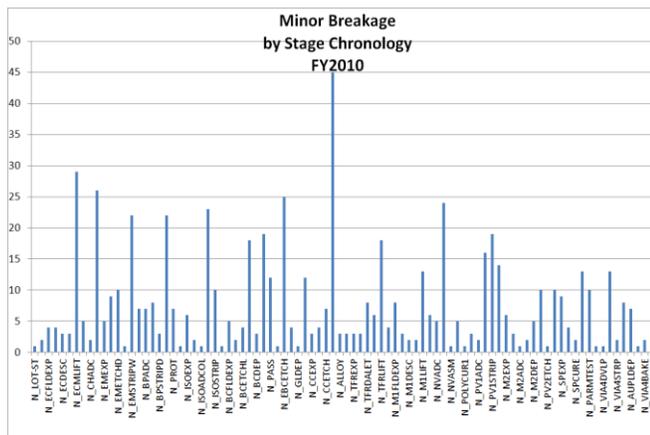


Fig.10: Breakage rates at different stages of GaAs wafer processing. Breakage rate does not go up as the wafers progress through the process.

The most obvious way to look at the data is an equipment Pareto. It is clear from the Pareto study that a few tool sets are responsible for the majority of the breakage. Fig. 11 shows a typical Pareto chart. The highest breakage rate is from manual handling, so automated handling is done as much as possible.

The highest automated handler breakage rate is caused by tools using handlers with high-speed rotation, high pressure spray, wafer grippers, etc. Since manual handling and the associated breakage have been minimized, we have seen the automatic wafer handlers start showing up on the Pareto chart. As soon as a tool handler was identified, steps were taken to find the cause and modifications were made. As an example, the SSEC tools that were the worst offender, were modified. Taller retainers to prevent wafers from being misplaced have improved the breakage dramatically. In another case, handling errors were traced to a single part, a rotation potentiometer, which was replaced by a more robust part. Also on the same tool, cassette tables were re-leveled to wafer chuck and breakage rate dropped. Modifications were

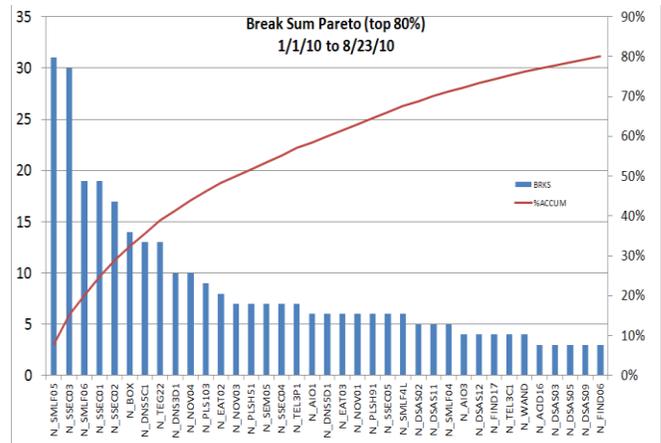


Fig.11: Pareto of breakage by tool.

made on other wafer handlers, wafer sorters, transfer tools, aligners, cassettes, vacuum wands etc.

## Conclusion

Micro-scratches and damage must be avoided for reduction of wafer breakage in general. For reduction of breakage to very low levels, attention to specific root causes is essential. Wafer breakage has been found to be strongly determined by specific tool and process and not by general weakening of wafers as they progress through the process. Wafer breakage can be reduced by regular monitoring and feedback to equipment and process engineers. The result of these efforts has been the reduction of breakage to the current level of 0.4% over a period of a few years as seen in Fig. 1. Wafer breakage reduction is an on-going process. However, making further gains is going to be harder and cost a bit more in tool modifications and automation.

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